APPEAL BRIEF

Applicant: KIA SILVERBROOK

Application No.: 10/729,157

Filing Date: 12 AUGUST 2003

Title of the Invention: A PRINTHEAD ASSEMBLY FOR

A PRINT ON DEMAND DIGITAL

CAMERA SYSTEM

Examiner: JUSTIN P. MISLEH

Class 348

Art Unit: 2622

US Patent Application No.: 10/729,157

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REAL PARTY IN INTEREST

The real party in interest is SILVERBROOK RESEARCH PTY LTD, the assignee of record.

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RELATED APPEALS AND INTERFERENCES

None

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STATUS OF CLAIMS

Claim	Status
1	Rejected
2	Cancelled
3	Rejected
4	Rejected
5	Rejected
6	Rejected
7	Cancelled
8	Rejected
9	Rejected
10	Rejected

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Art Unit: 2622

STATUS OF AMENDMENTS

The claims have not been amended after the final rejection dated July 20, 2009. The claims as pending and as submitted for appeal are as received by the USPTO on January 18, 2009.

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SUMMARY OF CLAIMED SUBJECT MATTER

The claimed invention as defined by independent claim 1 is directed to a printhead

assembly for a camera system (1 - Fig. 1). The printhead assembly of the claimed

invention is depicted for example in Fig. 13 of the specification. The printhead

assembly comprises an elongate ink reservoir assembly (42 - Figs. 5 - 7, and 13; p. 5,

ln. 8 - 13; p.7, ln. 31 - 32) defining at least three ink reservoirs (104, 105, 106 - Fig.

13; p. 7, ln. 32 - 33). Each of the at least three ink reservoirs spans a width of the

printing path, as shown in Fig. 13.

The printhead assembly of the claimed invention further comprises a guide assembly

(124, 125 - Fig. 14; p. 8, ln. 1 - 6) positioned in the ink reservoir assembly. The guide

assembly defines at least three discrete ink paths facilitating fluidic communication

between each of the at least three ink reservoirs (104, 105, 106 - Fig. 138; p 8, ln 1 - 6)

and an outlet (128 - Fig. 14; p 7, ln. 34 - 35) of the elongate ink reservoir assembly.

The printhead assembly of the claimed invention still further comprises at least one

printhead integrated circuit (102 - Figs. 13 and 14) positioned at the outlet (128 - Fig.

14) of the elongate ink reservoir assembly. The at least one printhead integrated

circuit substantially spans a width of the printing path.

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GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

- 1. Whether claims 1, 3 5, and 8- 10 are unpatentable under 35 U.S.C. 103 over Suzuki (US 5,847,836) in view of Yuen (US 7,347,863).
- 2. Whether claim 6 is unpatentable under 35 U.S.C. 103 over Suzuki (US 5,847,836) in view of Yuen (US 7,347,863) and further in view of official notice as supported by Baldwin et al. (US 5,600,358).

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ARGUMENTS

(1) REJECTION UNDER 35 U.S.C. 103(a) OVER SUZUKI (US 5,847,836) IN

VIEW OF YUEN (US 7,347,863)

CLAIMS 1, 3 - 5, 8 AND 10

In the Final Office Action dated 20 July, 2009, independent claim 1 is rejected

over the combination of Suzuki and Yuen. One of the assertions made by the

rejection is that Suzuki discloses the claimed "elongate ink reservoir assembly

defining...ink reservoirs...spanning a width of the printing path" (page 4, item 8

of Final Office Action).

In raising this rejection, the Examiner refers to the disclosure of Suzuki at col. 16,

lines 17 - 24, and lines 43 - 48 (page 4, item 7 of Final Office Action). This

portion of Suzuki states that the printhead used in the device of Suzuki may be a

full line type printhead.

Additionally, whilst not referred to by the Examiner, Applicant also notes that

Suzuki states at lines 25 - 30 that that the printhead may be a cartridge type

printhead in which the ink tank is "integrally arranged" on the printhead itself.

From the above disclosure of Suzuki, the Examiner asserts at page 4, item 8 of

Final Office Action that there is "clear evidence" that Suzuki teaches "an elongate

ink reservoir assembly defining...ink reservoirs...spanning a width of the printing

path". Applicant respectfully disagrees.

As was previously submitted by Applicant (in the response of April 23 2009; page

3, paragraph 5), Suzuki describes only that the printhead used in the device may

be a pagewidth printhead, and that an ink tank for the printhead may be integrally

arranged on the printhead itself. Applicant notes that Suzuki is otherwise silent as

to the ink tank itself spanning a width of the printing path, or to any other

characteristic of the ink tank.

The disclosure of Suzuki regarding the printhead being a full line printhead, and

that the ink tank being integrally arranged on the printhead, is respectfully

submitted to be insufficient to prejudice the novelty and inventiveness of claims 1,

3 - 5, 8 and 10. In particular, the feature of the ink reservoir assembly defining at

least three discrete ink reservoirs, which at least three ink reservoirs each span a

width of the printing path is not taught or suggested by Suzuki. Suzuki makes no

mention about a size and arrangement of the ink tank with respect to the printhead,

other than to state that it is "integrally arranged" on the printhead.

Applicant points out that a pagewidth printhead spanning a width of a printing

path, by itself, does not teach or suggest that an ink reservoir for the pagewidth

printhead also spans a width of the printing path. Applicant respectfully submits

that the Examiner's extrapolation of the mere use of a pagewidth printhead in

Suzuki to arrive at the conclusion that Suzuki provides "clear evidence" for an

elongate ink reservoir spanning a width of the printing path is based on improper

hindsight reasoning, and relies on Applicant's own disclosure.

With reference to the secondary reference of Yuen, the Examiner asserts (page 5,

item 10; and page 8, para. 3 of Final Office Action) that Yuen discloses an ink

reservoir assembly (50 - Fig. 3) with three ink reservoirs (16, 18, and 20), wherein

each of the three ink reservoirs (16, 18, and 20) spans the entire width of the ink

reservoir assembly (50 - Fig. 3). In combination with the alleged teachings of

Suzuki, the Examiner concludes that:

"Thus, if the print cartridge taught by Yuen were used in Suzuki's printhead

assembly, the combined teachings yield Applicant's invention as recited in

Claim 1. In order words, Suzuki already teaches a printer cartridge that spans

the width of the printing path, where the printer cartridge has a plurality of

ink reservoirs. Yuen shows a printer cartridge with exactly three ink reservoirs

that occupy the whole width of the printer cartridge. At the time the invention

was made, the Examiner respectfully submits that one with ordinary skill in

the art would have combined the teaching of Yuen with Suzuki to form a

printer cartridge/assembly that spans the width of the printing path and

contain at three ink reservoirs [sic]. For these reasons, the Examiner

maintains the rejection of the claims."

Applicant respectfully submits that this conclusion is untenable.

Firstly, Applicant clarifies for the Board that element 50 of Yuen refers to an ink

container (Yuen - col. 2, line 24) as opposed to a "printer cartridge" as asserted by

the Examiner. It should be especially noted that the ink container (50) of Yuen

contains only ink, and does not include a printhead. In a technical context, one of

ordinary skill in the art would correspond the ink container (50) to the ink tank of

Suzuki. Accordingly, if relying on all available disclosure regarding the ink tank

of Suzuki, the ink container (50) of Yuen may be "integrally arranged" on the

pagewidth printhead of Suzuki. However, exactly how the ink container (50) is

arranged on the pagewidth printhead of Suzuki, and whether or not the ink

container (50) would also span a width of the printing path, is not taught or

suggested by either Suzuki or Yuen.

Next, it is noted that Yuen also fails to teach or suggest that the reservoirs (16, 18,

and 20) of the ink container (50) spans a width of the printing path. Applicant

particularly notes that the Examiner states only that "Yuen shows a printer

cartridge with exactly three ink reservoirs that occupy a whole width of the

printer cartridge" [emphasis added]. This alleged teaching of Yuen still does not

teach or suggest the claimed feature of ink reservoirs spanning a width of the

printing path. In Yuen, since the ink container (50) does not span a width of the

printing path, it follows that even though the ink reservoirs (16, 18, and 20) span a

width of the ink container (50), the ink reservoirs (16, 18, and 20) still would not

span a width of the printing path.

In view of the above, Applicant respectfully submits that the combination of

Suzuki and Yuen would not have arrived at the claimed invention, and that the

Examiner's above reasoning is flawed.

The combined teachings of Suzuki and Yuen may be summarised as follows:

1. A pagewidth printhead spanning a width of the printing path may be used

(Suzuki).

2. An ink tank maybe be integrally arranged on the pagewidth printhead

(Suzuki).

3. The ink tank may have three ink reservoirs (Yuen).

4. Each of the three ink reservoirs may span a width of the ink tank (Yuen).

A combination of Suzuki and Yuen, in view of the above teachings, would arrive

only at a device in which a pagewidth printhead is used. The pagewidth printhead

has an integrally arranged ink tank defining three ink reservoirs therein, and each

of the three ink reservoirs spans a width of the ink tank.

The combination of Suzuki and Yuen, in view of the above teachings, would not

arrive at an invention in which an elongate ink reservoir assembly defines at least

three ink reservoirs, and each of the at least three ink reservoirs spans a width of

the printing path. Applicant submits that an elongate ink reservoir assembly

defining at least three ink reservoirs which each span a width of the printing path

is not known from the cited references of Suzuki and Yuen.

Accordingly, it is respectfully submitted that the cited combination of Suzuki and

Yuen does not prejudice the novelty and inventiveness of claims 1, 3 - 5, 8, and

10.

CLAIM 9

All arguments as presented above in relation to claims 1, 3 - 5, 8 and 10 are to be

considered as also submitted herewith in relation to claim 9. Moreover, the

following further arguments are submitted in relation to claim 9.

Claim 9 is dependent from claim 3, and additionally recites that the guide

assembly includes a first guide wall extending from a first inner wall, and a

second guide wall extending from a second inner wall. The first and second guide

walls extend towards each other from the first and second inner walls respectively

and terminate at the elongate opening.

The Examiner contends that the combination of Suzuki and Yuen teach such a

feature.

With reference to Fig. 3 of Yuen, the Examiner construes the two parallel and

vertically extending wall-like structure (58) as the first and second guide walls.

The Examiner construes the top most horizontally extending wall of the ink

container (50) as the first inner wall. The Examiner fails to indicate which wall of

the ink container (50) is construed as the second inner wall, however, Applicant

assumes that the Examiner construes the bottom most horizontally extending wall

of the ink container (50) as the second inner wall. The Examiner further construes

a portion of the bottom most horizontally extending wall of the ink container (50)

as the elongate opening.

Relying on the above construction of the ink container (50), the Examiner asserts

that the left most vertically extending wall-like structure (58) is the first guide wall

extending from a first inner wall (i.e. the top-most horizontally extending wall of

the ink container), and that the right most vertically extending wall-like structure

(58) is the second guide wall extending from a second inner wall (i.e. the bottom-

most horizontally extending wall of the ink container).

Since the left most wall-like structure (58) extends from top to bottom, and the

right most wall-like structure (58) extends from bottom to top, the Examiner

assumedly further asserts that the two wall-like structures (58) extend "towards"

each other, as required by claim 9.

Using a consistent application of the Examiner's above construction/interpretation

of the ink container (50) illustrated in Fig. 3 of Yuen, however, Applicant notes

that the following fundamental deficiency exists.

Claim 9 requires that the first and second guide walls extend towards each other

and terminate at the elongate opening. If the left most wall-like structure (58) is

construed as a first guide wall extending from top to bottom, and the right most

wall-like structure (58) is construed as a second guide wall extending towards the

first guide wall from bottom to top, Applicant points out that the right most wall-

like structure (58) does not "terminate" at the elongate opening. Rather, since the

right most wall-like structure (58) extends from bottom to top, it is clear that the

right most wall-like structure (58) originates from the elongate opening, rather

than terminates at the elongate opening.

No reasonable construction or interpretation of the ink container (50) can arrive at

the recited arrangement of claim 9, requiring that: (1) the first and second guide

walls extend towards each other, (2) that the first guide wall extend from a first

inner wall, and (3) that the second guide wall extend from a second inner wall.

For example, if the left most wall-like structure (58) were instead construed as the

second guide wall, and the right most wall-like structure (58) were instead

construed as the first guide wall, the same deficiency would exist. Similarly, if the

designations of the top most horizontally extending wall and the bottom most

horizontally extending wall were swapped such that the bottom most horizontally

extending wall was designated the first inner wall, and the top most horizontally

extending wall was designated the second inner wall, the same deficiency would

still exist.

The only construction/interpretation of the ink container (50) that would allow

both the left most and right most wall-like structures (58) to both terminate at the

elongate opening is if both wall-like structures were construed as extending from

the top most horizontally extending wall to the bottom most horizontally

extending wall. However, in this case, the requirement of claim 9 of the two guide

walls extending towards each other would no longer be met.

In addition to the above, the Applicant notes that the construction of the ink

container (50) relied upon by the Examiner in rejecting claim 9 is inconsistent

with the construction relied upon by the Examiner in rejecting claim 4. Applicant

submits that a proper application of Yuen in a 35 U.S.C. 103 combination to reject

the claims of the present application must rely on a consistent interpretation of the

teachings of Yuen. It is improper, for example, to assert that both wall-like

structure (58) extend from top to bottom in the rejection of claim 4, and then

assert that one wall-like structure (58) extends from top to bottom while the other

wall-like structure (58) extends from bottom to top when rejecting claim 9.

Similarly, the construction of the ink container (50) being relied upon by the

Examiner in rejecting claim 9 is inconsistent with the construction relied upon by

the Examiner in rejecting claim 4. For example, the Examiner's assertion of which

elements of Yuen are the inner walls, and which elements are the guide walls

differs from the rejection of claim 4 to the rejection of claim 9.

Accordingly, it is clear that the combination of Suzuki and Yuen, and in particular

the teaching of Yuen being relied upon by the Examiner, fails to prejudice the

novelty and inventiveness of claim 9.

(2) REJECTION UNDER 35 U.S.C. 103(a) OVER SUZUKI (US 5,847,836) IN

VIEW OF YUEN (US 7,347,863) IN VIEW OF OFFICIAL NOTICE

SUPPORTED BY BALDWIN ET AL. (US 5,600,358)

In the Final Office Action dated July 20, 2009, dependent claim 6 is rejected over

the combination of Suzuki and Yuen and Official Notice supported by Baldwin et

al. (US 5,600,358).

Applicant notes that this rejection has been identically raised in each of the Office

Actions dated January 6, 2009, February 18, 2009, and July 20, 2009. Applicant

has submitted arguments in response to each raising of this rejection, but the

Examiner has failed to answer this material traversed by Applicant. In response to

Applicant's submission of arguments to each raising of this rejection, the

Examiner has merely raised the same rejection again in a subsequent Office

Action, without further explanation as to why Applicant's submitted arguments

were not persuasive.

The Examiner previously asserted that an answer to Applicant's arguments is not

required since an alleged new grounds of rejection was raised in the Office Action

of February 18, 2009. However, having reviewed the Office Action of February

18, 2009, Applicant points out that a new grounds of rejection has not in fact been

substantially raised in regards to claim 6. Claim 6 is still materially rejected in

view of Official Notice supported by Baldwin et al.

All arguments as presented above in relation to claims 1, 3 - 5, 8 and 10 are to be

considered also submitted herewith in relation to claim 6. Moreover, the following

further arguments are submitted in relation to claim 6.

Claim 6 is dependent from claim 3, and recites that a wall of a cover member for

covering the ink reservoir assembly defines a number of air inlet openings. Claim

6 further limits the air inlet openings as being openings that are treated to be

hydrophobic.

The Examiner relies on Official Notice that air inlet openings that have been

treated to be hydrophobic are well known and expected in the art. The Examiner

submits Baldwin et al. in support of this Official Notice.

With reference to Baldwin et al., there is disclosed an arrangement in which a

hydrophobic membrane is placed across one end of a passage. Applicant

respectfully submits that the placing of a hydrophobic membrane across one end

of a passage is not "treating" the opening so as to be hydrophobic, as would be

understood by one of ordinary skill in the art.

Applicant refers to the Merriam-Webster online direction at http://www.m-w.com

for a definition of the word "treat", in which the definition is provided as:

• to act upon with some agent especially to improve or alter <treat a

metal with acid>

The hydrophobic membrane described in Baldwin et al. cannot reasonably be

construed as an "agent" acting upon the opening to improve or alter a

characteristic of the opening. Whilst it may be considered that the membrane itself

has been treated to be hydrophobic, the membrane does not itself "treat" the

opening to make the opening hydrophobic.

Applicant believes that the recited arrangement of claim 6 is distinct from that

described in Baldwin, in which a hydrophobic membrane is placed across one end

of a passage. Placing a membrane across an end of a passage is respectfully

submitted to be different to treating the opening with an agent so as to be

hydrophobic.

Accordingly, it is respectfully submitted that the combination of Suzuki, Yuen and

Official Notice as supported by Baldwin does not prejudice the inventiveness of

claim 6.

CLAIMS APPENDIX

1. A printhead assembly for a camera system having a chassis and a platen

assembly that is mountable on the chassis, the platen assembly defining a printing path

along which a print medium is passed, the printhead assembly comprising:

an elongate ink reservoir assembly defining at least three ink reservoirs for

storing ink, each of the at least three ink reservoirs spanning a width of the printing path;

a guide assembly positioned in the ink reservoir assembly, the guide assembly

defining at least three discrete ink paths facilitating fluidic communication between each

of the at least three ink reservoirs and an outlet of the elongate ink reservoir assembly;

and

at least one printhead integrated circuit positioned at the outlet of the elongate ink

reservoir assembly, the at least one printhead integrated circuit substantially spanning a

width of the printing path.

2. (Cancelled)

3. A printhead assembly as claimed in claim 1, wherein the ink reservoir assembly

includes an elongate base member and an elongate cover member, the cover member

having a roof wall, a pair of opposed side walls and a pair of spaced inner walls, the side

walls and the inner walls depending from the roof wall and being generally parallel to

each other and the base member having a floor and a pair of opposed end walls and

defining an elongate opening in which the printhead integrated circuits are mounted, the

guide assembly being interposed between lower ends of the inner walls and the floor.

4. A printhead assembly as claimed in claim 3, in which the guide assembly

includes a pair of guide walls that extend from respective lower ends of the inner walls

inwardly towards the elongate opening to define the three distinct ink paths that

terminate at respective sets of inlet apertures of the printhead integrated circuits.

5. A printhead assembly as claimed in claim 3, in which the base member, the

cover member and the guide assembly are molded of a plastics material.

6. A printhead assembly as claimed in claim 3, in which one of the end walls

defines a number of air inlet openings that are treated to be hydrophobic to permit the ingress of air into the ink reservoirs as ink is fed from the ink reservoirs and to inhibit the egress of ink.

- 7. (Canceled).
- 8. A camera system that includes a printhead assembly as claimed in claim 1.
- 9. A printhead assembly as claimed in claim 3, wherein the guide assembly includes a first guide wall extending from a first inner wall, and a second guide wall extending from a second inner wall, the first and second guide walls extending towards each other from the first and second inner walls respectively and terminating at the elongate opening.
- 10. A printhead assembly a claimed in claim 1, wherein the guide assembly spans a width substantially the same as that of the elongate ink reservoir, and the guide assembly is provided longitudinally adjacent to the elongate ink reservoir assembly.

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EVIDENCE APPENDIX

None

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RELATED PROCEEDINGS APPENDIX

None

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